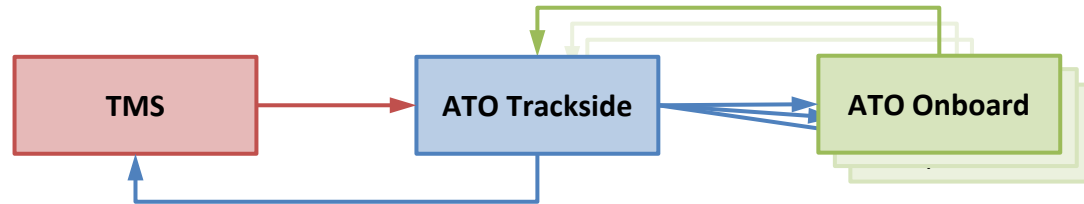


# Aligning railway traffic management and automatic train operation



# Prof. Dr. Rob Goverde

# Digital Rail Traffic Lab

Department of Transport and Planning

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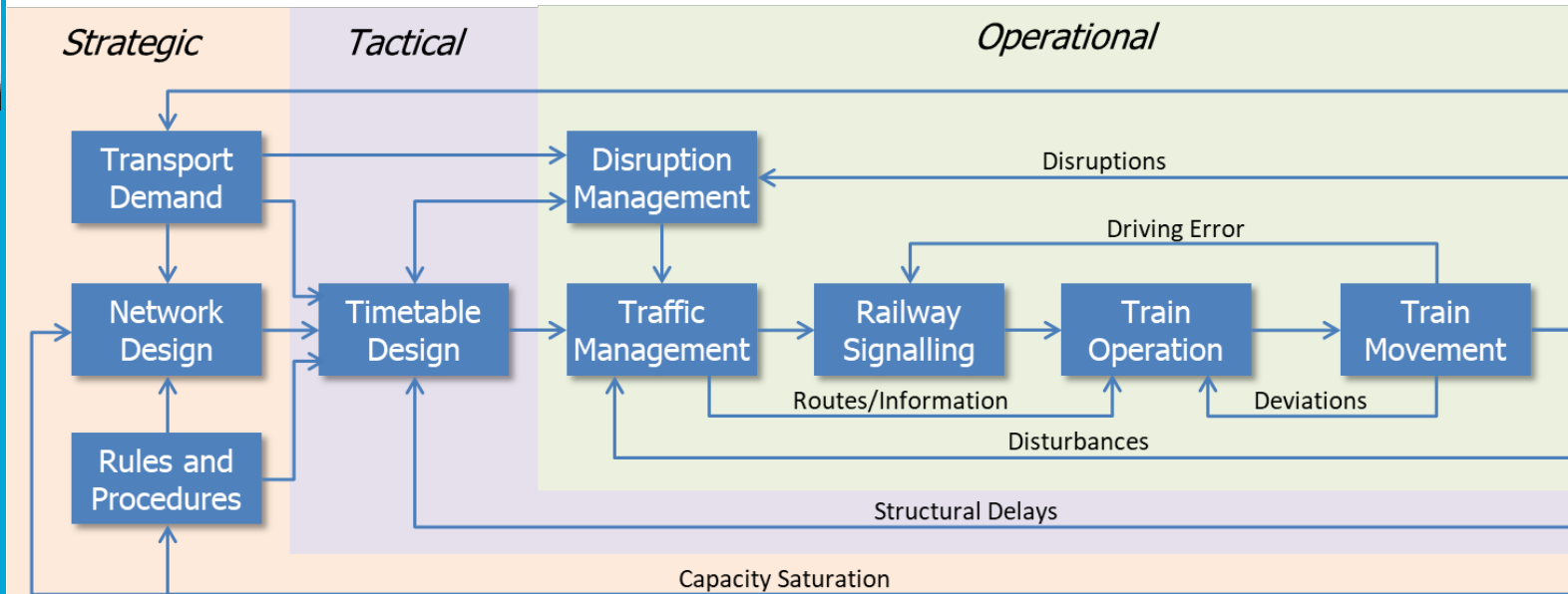
# Digital Rail Traffic Lab

The **Digital Rail Traffic Lab** develops innovative concepts, models and methods to realize **resilient railway traffic systems** that optimize capacity, performance, energy consumption and safety.

Focus is on **optimization** and **automation** for improved railway timetabling, railway traffic management, disruption management, energy-efficient train operation, and railway signalling.

Methods: **domain knowledge**, mathematical modelling, operations research, optimal control, data analytics, artificial intelligence, simulation.

Directors: Prof. Dr. Rob Goverde and Dr. Egidio Quaglietta



# Outline

- Introduction
- TMS
- ATO
- TMS versus ATO
- Optimal TMS – ATO interaction
- TMS – ATO feedback control loops
- Conclusions

## EU-Rail FP1-MOTIONAL (2022-2026)

WP15/16 - Linking TMS to ATO/C-DAS for optimized operations (WP leader Rob Goverde)

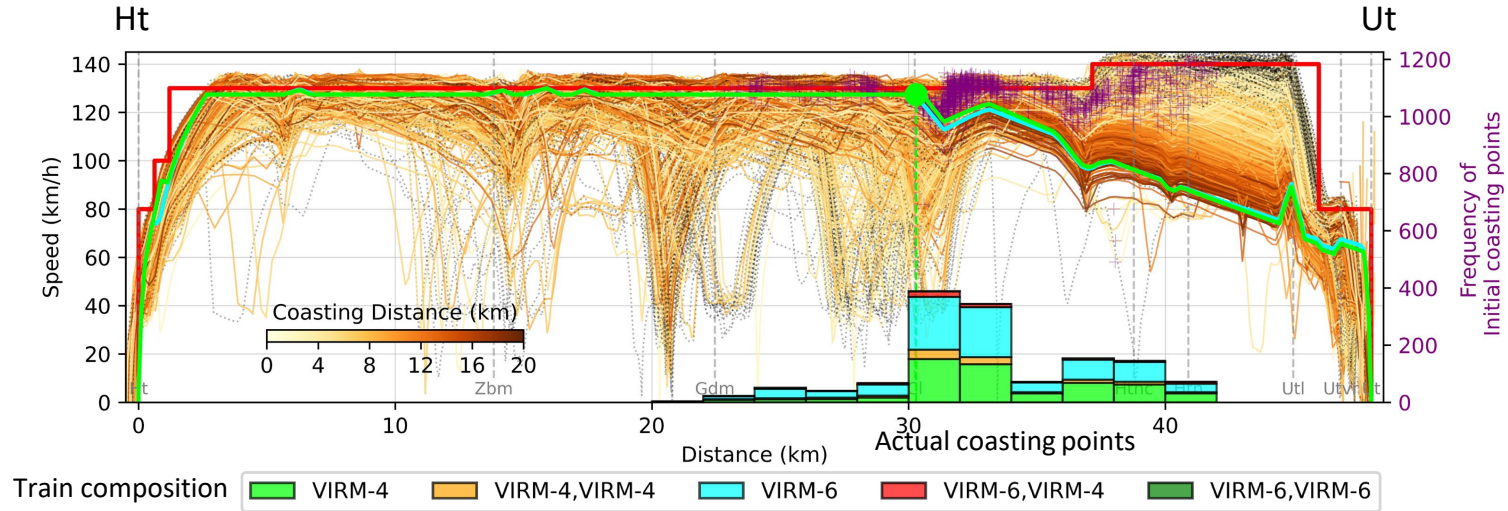


*This project has received funding from the Europe's Rail Joint Undertaking under the European Union's Horizon Europe research and innovation programme under grant agreement number 101101973 (FP1 – MOTIONAL)*

# Introduction

## Aim

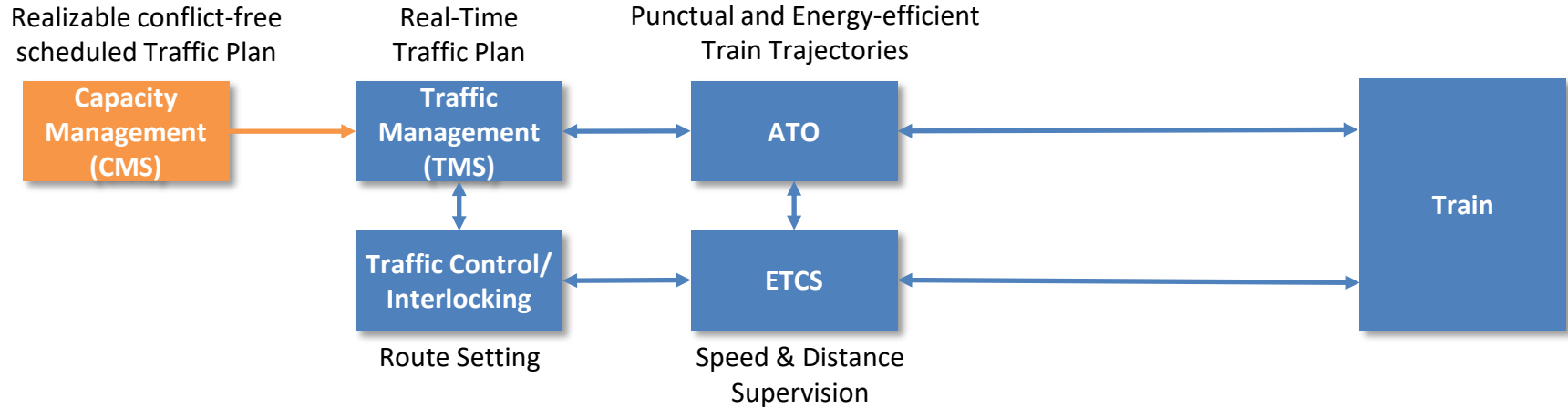
- Improve railway operations performance (capacity, robustness, punctuality, energy consumption) both in normal and disturbed conditions by optimal interaction of traffic management and ATO



- GPS data of 1.618 IC trips from 's Hertogenbosch to Utrecht from 16-12-2024 to 31-01-2025 with at most 1 min delay at both departure and arrival
- NS trains equipped with DAS (TimTim) without TMS interaction

# Introduction

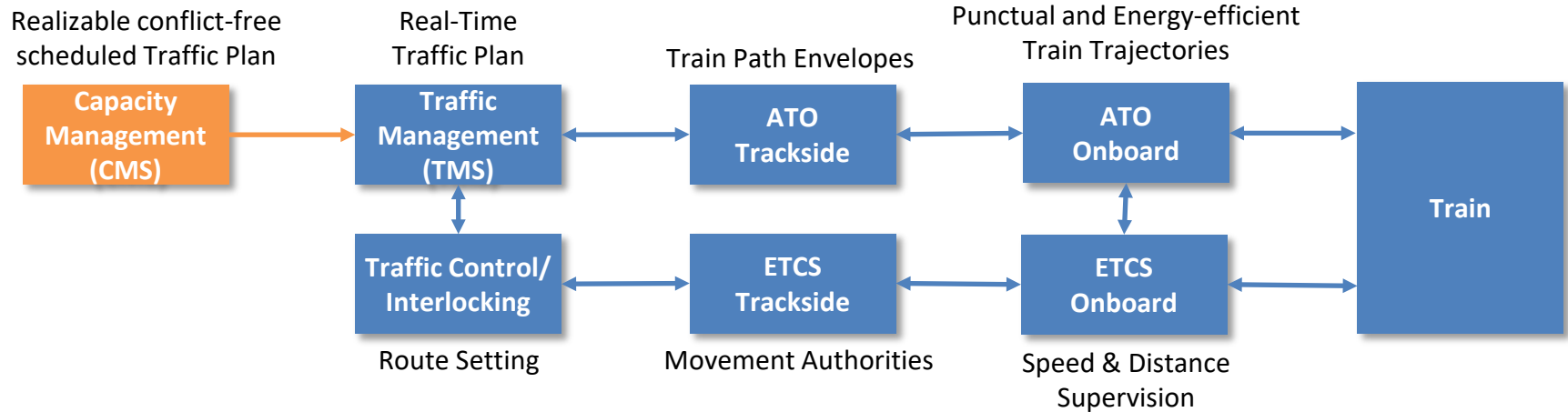
- Digital Automated Train Operation



- Goal: synchronize route setting and train trajectories for conflict-free and efficient operations

# Introduction

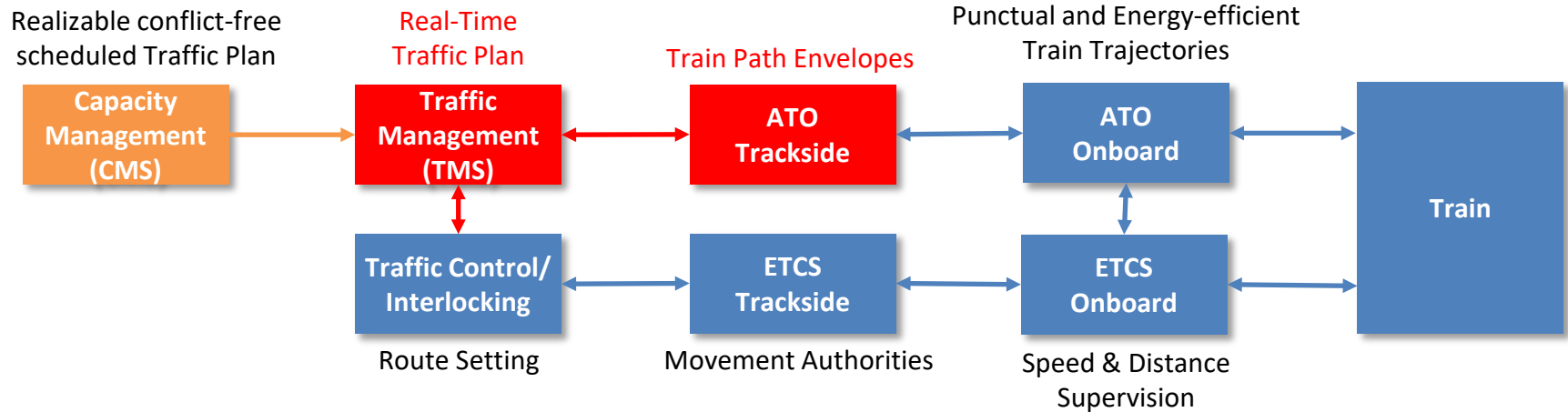
- Digital Automated Train Operation



- Goal: synchronize route setting and train trajectories for conflict-free and efficient operations
- Optimal interaction of Trackside and Onboard systems

# Introduction

- Digital Automated Train Operation



- Goal: synchronize route setting and train trajectories for conflict-free and efficient operations
- Optimal interaction of Trainside and Onboard systems

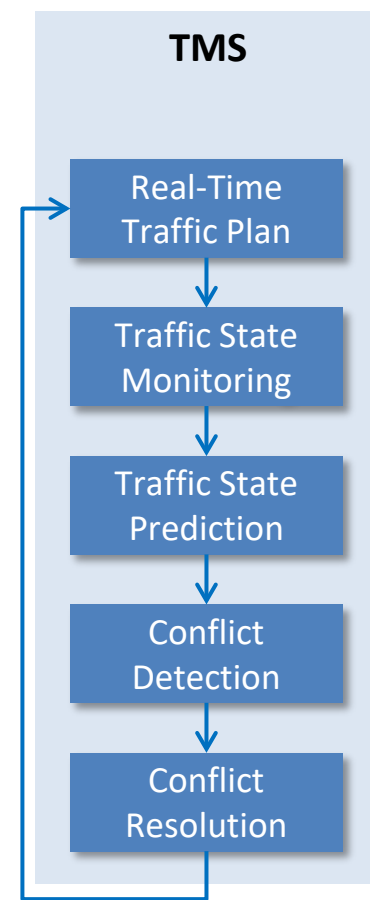
# What does the TMS do?



# The Real-Time Traffic Plan

- Proactive traffic management using a **Real-Time Traffic Plan**
- Real-Time Traffic Plan specifies for all trains
  - Exact route between stops
  - **Time targets or time windows** at (stopping and passing) **timetable points**
  - Orders at switches and crossings
- TMS **continuously updates** the Real-Time Traffic Plan by monitoring and forecasting train traffic, and proactively detecting and resolving conflicts due to disturbances and disruptions, to allow **conflict-free traffic**
- Conflict detection and resolution includes retiming, reordering, rerouting
- The Real-Time Traffic Plan **coordinates** all actors (signallers, train drivers, passenger information) and systems (Automatic Route Setting, Automatic Train Operation)

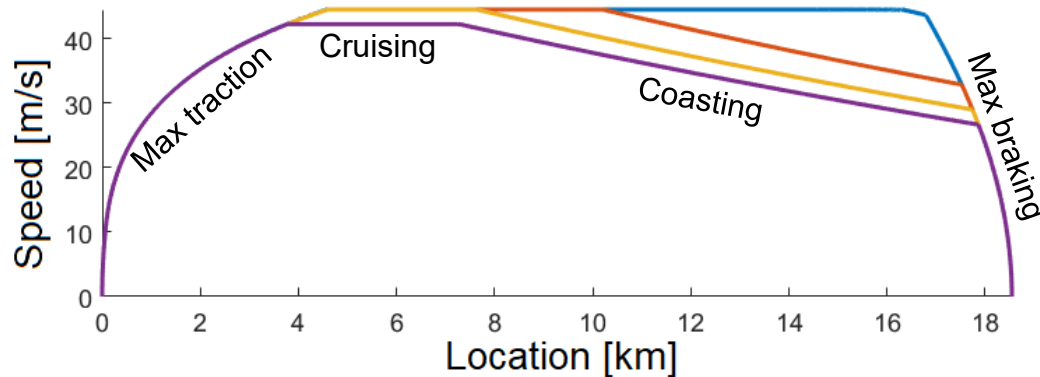
References: FP1 MOTIONAL D15.2, Tschirner et al. (JRTPM 2014), Quaglietta et al. (TRC 2016)



# What does ATO do?

# Train trajectories

- ATO generates and tracks the train trajectory (speed and time profile) over the route between stops
- A train trajectory generation algorithm computes a feasible speed profile considering train and track characteristics, traction and braking control bounds, and timing point constraints (time targets or windows)
- An energy-optimal train trajectory consists of a sequence of four driving regimes
  - maximum traction, cruising by partial traction/braking, coasting, maximum service braking
- Optimal control problem determines optimal cruising speed and optimal switching time between regimes
- The train trajectory is the reference for a speed tracking algorithm (GoA2+) or for driver advice (C-DAS)



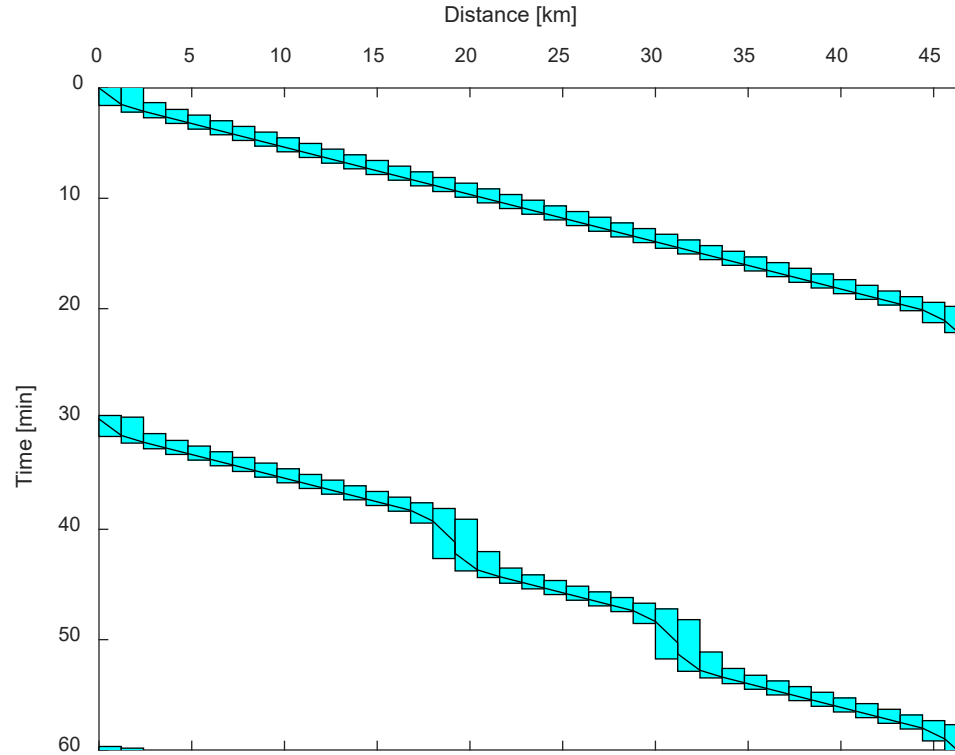
0% running time supplement  
5% running time supplement  
10% running time supplement  
15% running time supplement

# TMS versus ATO

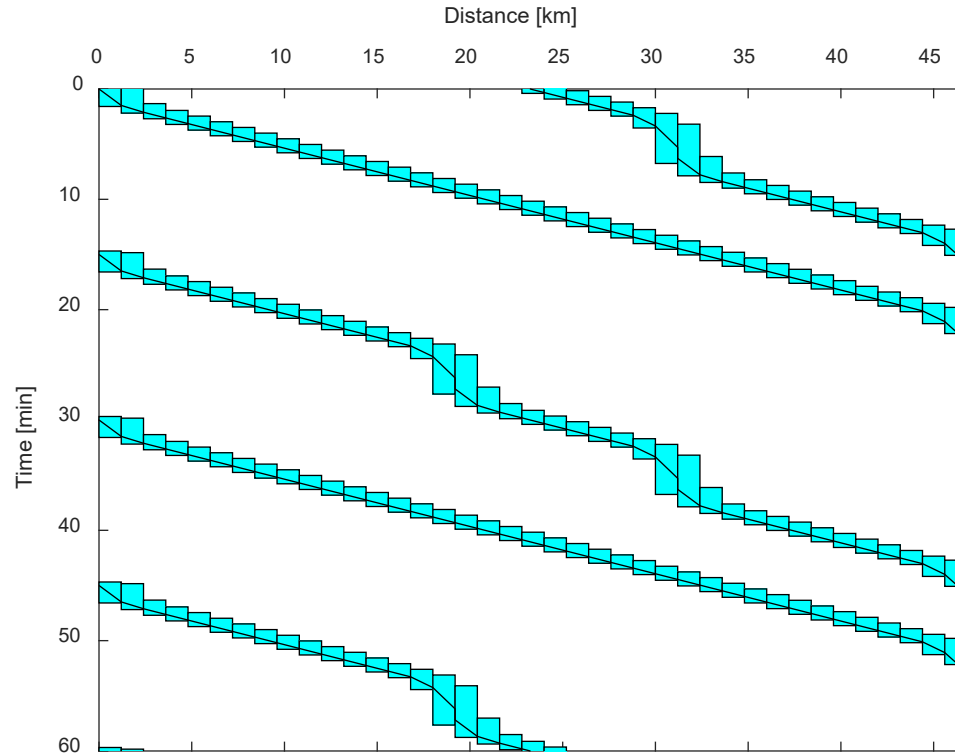
# What can go wrong?

- TMS maintains a Real-Time Traffic Plan with 'conflict-free' train paths based on
  - The planned timetable (Capacity Management System)
  - Conflict Detection and Resolution algorithms/rules within the TMS due to disturbances
- The actual train paths may deviate from the real ones (even with the same departure and arrival time)
  - Different train trajectories exist over a line with the same scheduled departure and arrival time
  - The CMS/TMS may not fully know the actual train characteristics
  - The actual train characteristics vary depending on actual train compositions
  - The actual driving behaviour may be unknown or vary depending on driver or ATO algorithm
  - Planning and CDR algorithms are usually based on a fixed train driving strategy
  - Planning and CDR algorithms may not consider detailed speed profiles (fixed-speed CDR models)
- Dense traffic can still have train path conflicts between stops due to uncertainty in actual train paths
- An RTTP guarantees that realizable and conflict-free train trajectories exist but not for all train trajectories

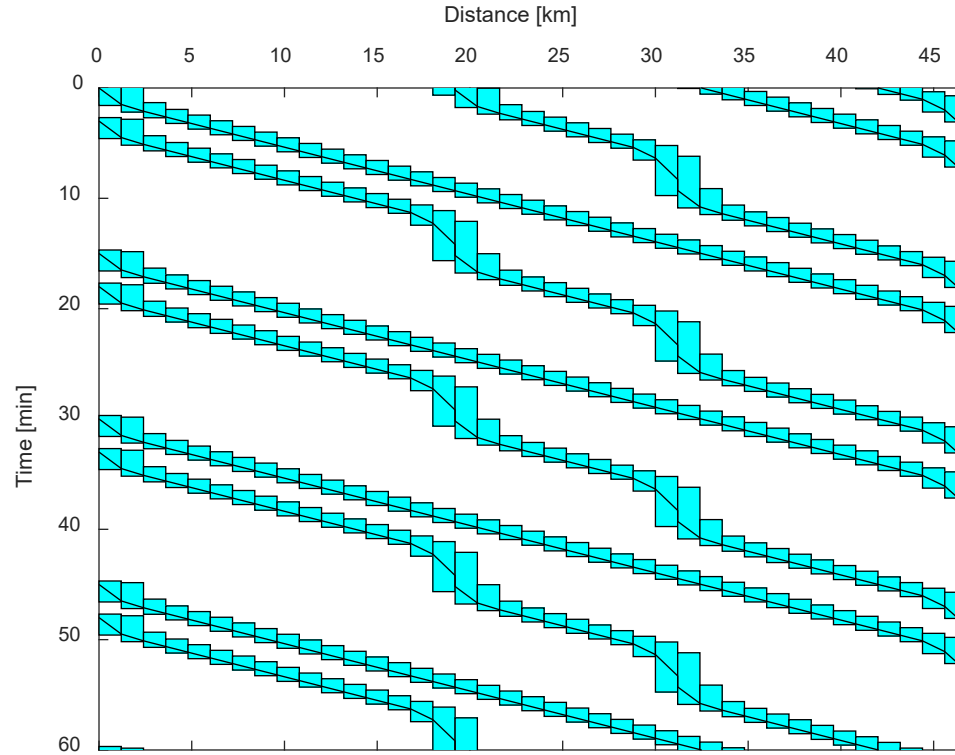
# No problem here



# No problem here



# No problem here?





# Optimal TMS – ATO interaction

# Train path envelope (TPE)

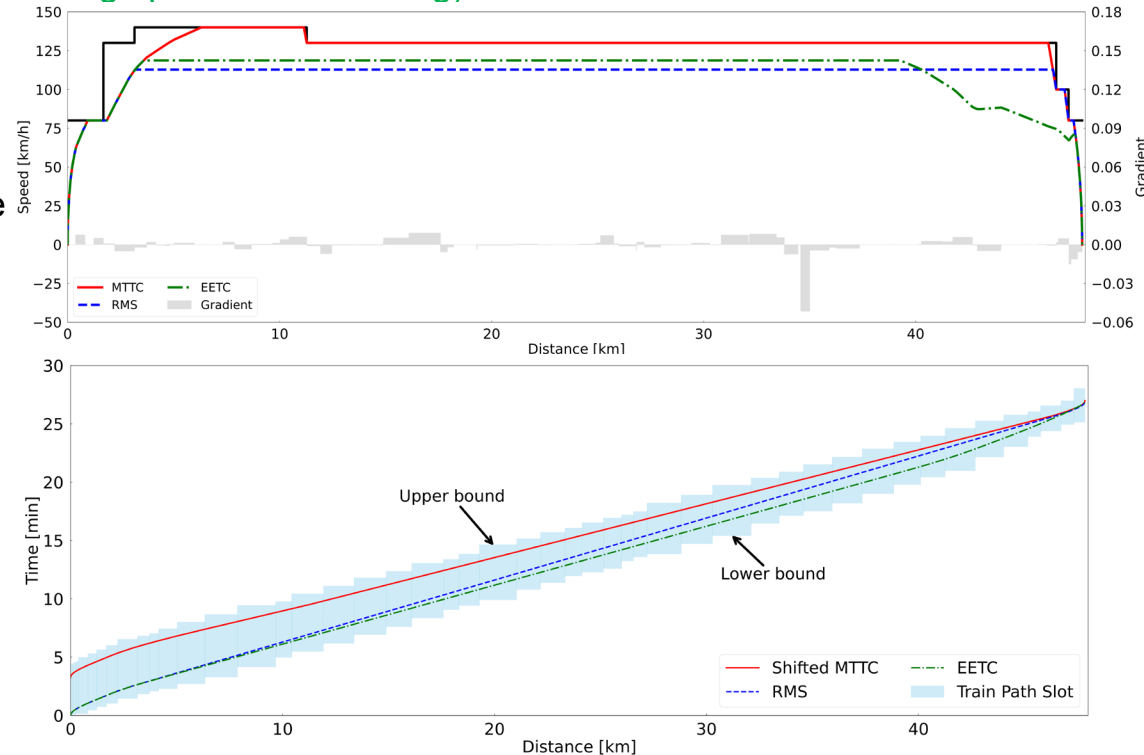
- A TPE consists of a list of Timing Points with time windows or targets over the train route for each train
- TPEs include scheduled departure and arrival from the RTTP but may include additional Timing Points
- TPEs are sent to the ATO Onboard as constraints to the train trajectory generation algorithm
- A train trajectory computed within the TPE must be conflict-free
- TPEs are the link between the RTTP from the TMS and the Train Trajectory of the ATO Onboard
- TPEs should maximize flexibility while guaranteeing conflict-free train operation
  - Providing Timing Points at each block will not work
  - Providing Timing Points only at departure and arrival may work or not depending on the situation

# Train path envelope (TPE)

- TPE should allow for multiple train driving strategies to provide flexibility to the ATO Onboard algorithms
  - Shifted minimum-time train control (absorbs full running time supplement)
  - Reduced cruising speed (no coasting)
  - Energy-efficient driving (optimal cruising speed and coasting)

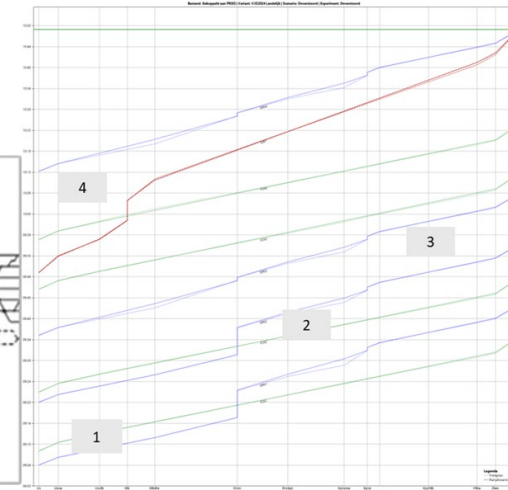
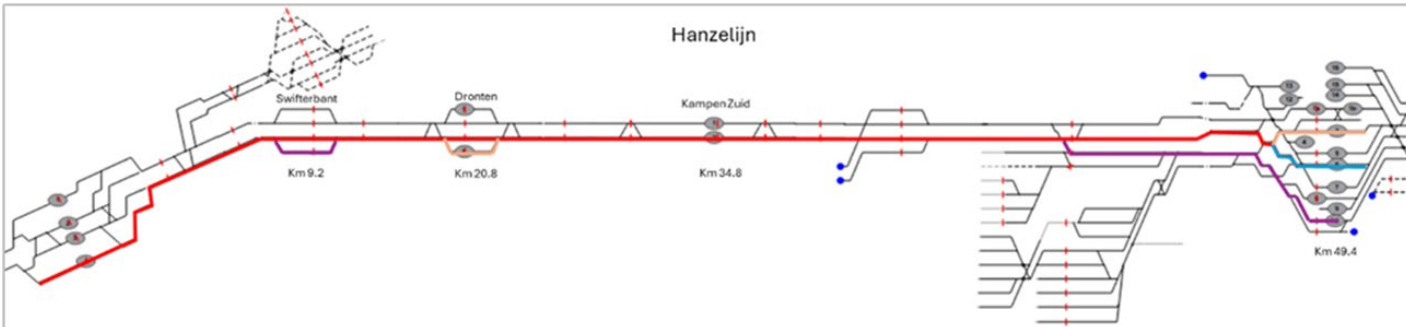
## Conflict-free TPEs

- Latest and earliest trajectory of successive trains must be conflict-free (no blocking time overlaps)
- Latest trajectory can be adjusted by reducing **departure tolerance** and reducing maximum train speed
- Earliest trajectory can be adjusted by adding **extra timing point** before conflicting block (critical block)



# TPE generation example

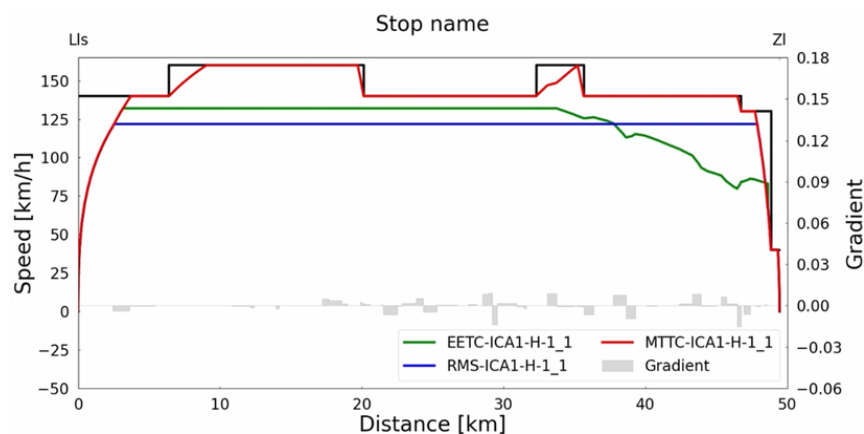
- 50 km Dutch railway corridor with ETCS L2 TTD between Lelystad and Zwolle (Hanzelijn)
  - Three train types: Intercity (IC), Sprinter (SP, local), Freight (G)
  - Two intermediate Sprinter stops Dronten and Kampen Zuid
  - One intermediate Freight stop Swifterbant
- 4 scenarios (included in one timetable)
  1. IC overtakes SP in Dronten
  2. IC overtakes SP in Dronten, shorter headway IC after SP
  3. IC approaches SP at arrival to Zwolle (no overtaking)
  4. IC overtakes G at Swifterbant, SP approaches G at arrival to Zwolle



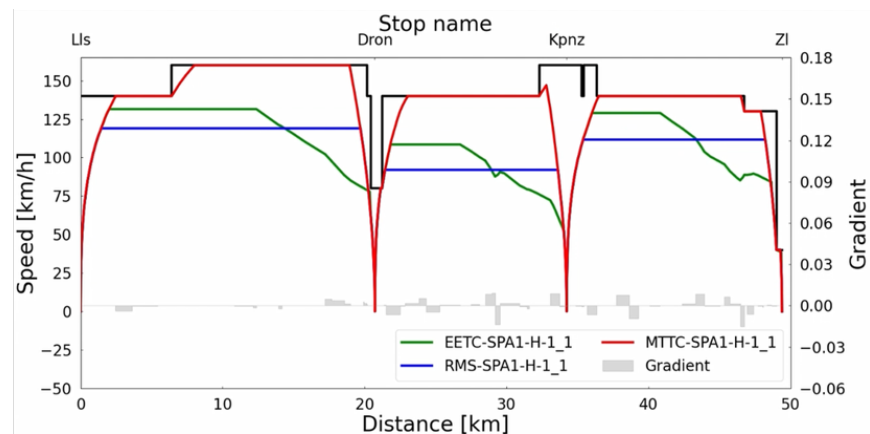
# TPE generation example

- Step 1: Compute train trajectories for multiple driving strategies, including
  - Minimum-time train control (absorbs full running time supplement)
  - Energy-efficient driving (optimal cruising speed and coasting)
  - Reduced cruising speed (no coasting)

## Intercity

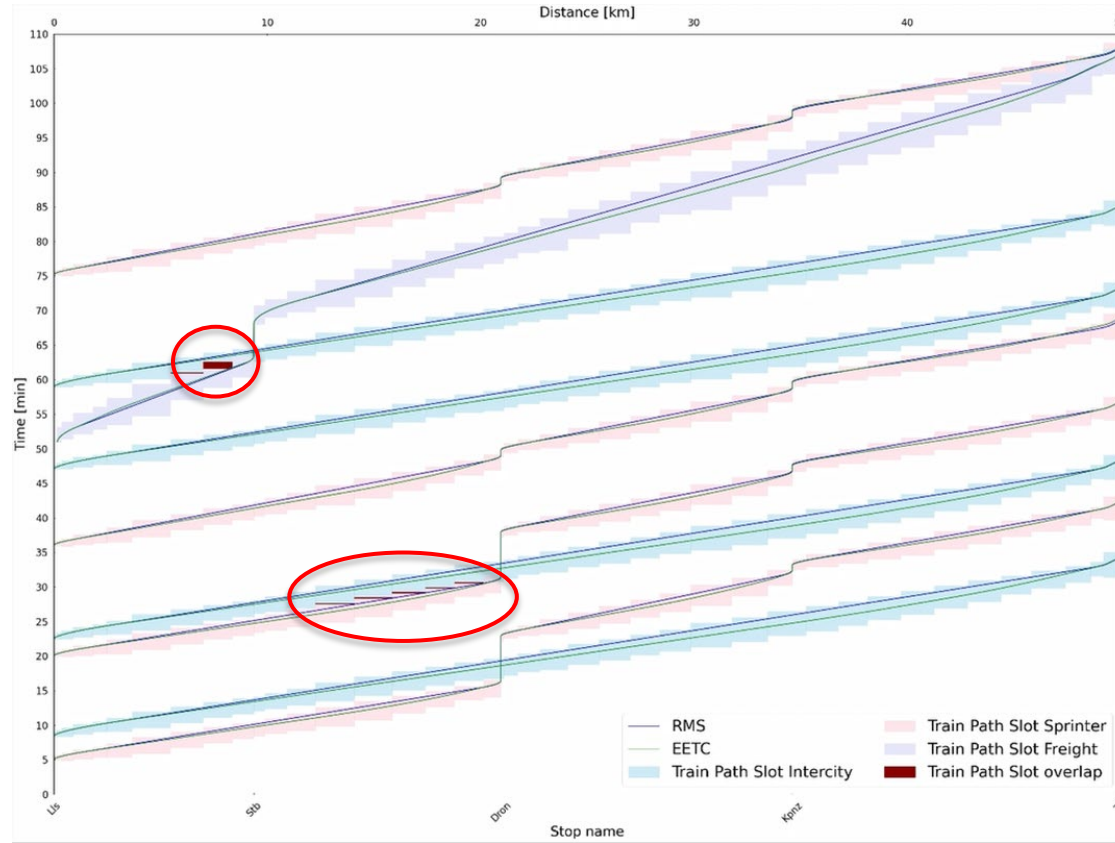


## Sprinter



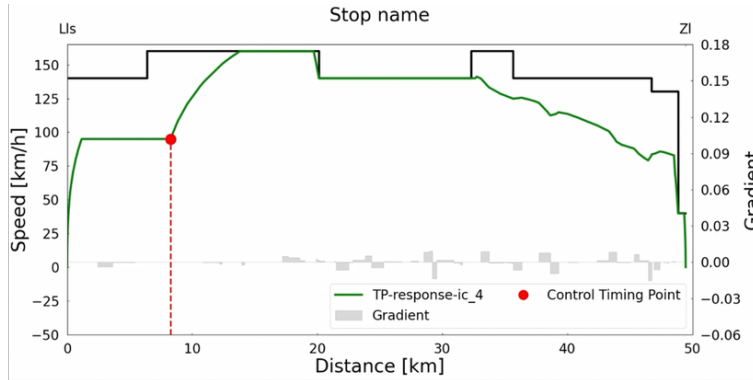
# TPE generation example

- Step 2: Compute blocking times for multiple driving strategies and detect conflicts (blocking time overlaps)

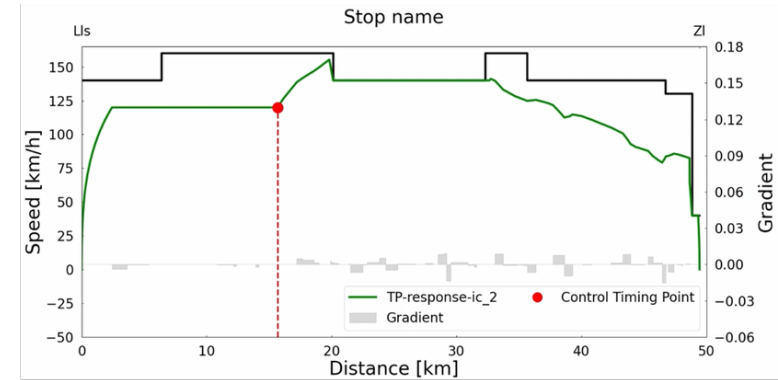


# TPE generation example

- Step 3: Resolve blocking time overlaps by computing extra Timing Points at critical blocks, and compute adjusted train trajectories satisfying earliest passing time at extra Timing Points



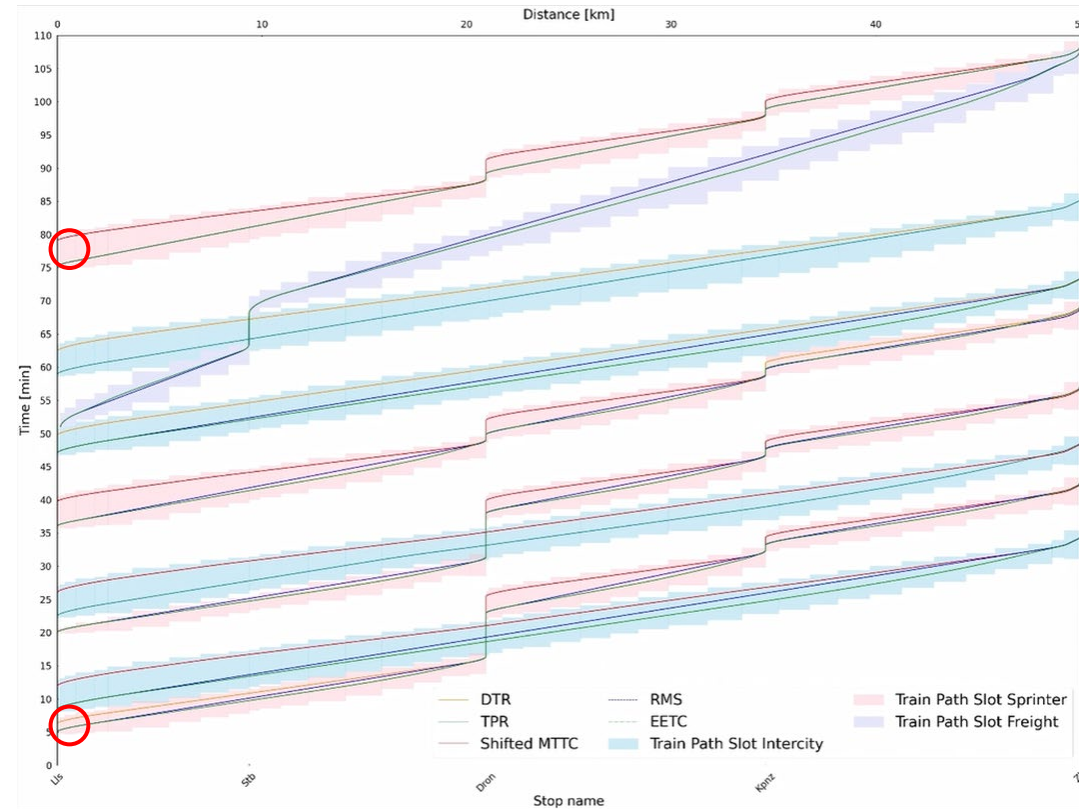
Extra Timing Point Intercity train at last block before Swifterbant to avoid conflict with braking freight train stopping at Swifterbant



Extra Timing Point Intercity train at last block before Dronten to avoid conflict with braking Sprinter train stopping at Dronten

# TPE generation example

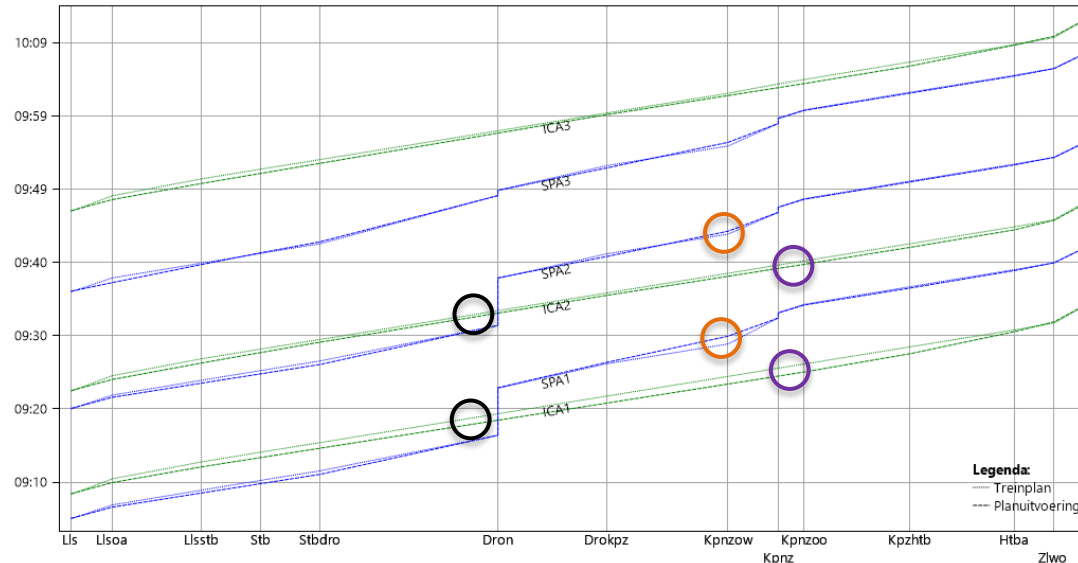
- Step 4: Compute maximal tolerances and the final time windows/targets at all Timing Points (including stops)
- Step 5: Collect sequence of Timing Points with time windows in TPE (all stops and two extra timing points) and send to ATO-Onboards
- Note: no change in routes, orders, or scheduled departure and arrival times (which is the task of the TMS/RTTP)





## Validation in ProRail FRISO simulation environment with ATO

- No conflicts
- Arrivals in ZI all between -12 s and +1 s
- Arrivals SP trains at intermediate stops between -2 s and +5 s
- The maximal deviations between plan and execution are in Scenario 1
  - 64 s late passage of the SPA1 through Kpnzow
  - 65 s early passages of the ICA1 through Kpnz and Kpnzoo



# The main three objects

	Real-time traffic plan (RTTP)	Train path envelope (TPE)	Train trajectory (TT)
<b>Scope</b>	<ul style="list-style-type: none"><li>• Train traffic on rail network</li></ul>	<ul style="list-style-type: none"><li>• Train traffic on corridor</li></ul>	<ul style="list-style-type: none"><li>• Train on corridor</li></ul>
<b>System</b>	<ul style="list-style-type: none"><li>• Traffic Management System (TMS)</li></ul>	<ul style="list-style-type: none"><li>• ATO-Trackside or TMS</li></ul>	<ul style="list-style-type: none"><li>• ATO-Onboard</li></ul>
<b>Content</b>	<ul style="list-style-type: none"><li>• Routes</li><li>• Train orders</li><li>• Event times at timetabling points</li></ul>	<ul style="list-style-type: none"><li>• Timing points</li><li>• Time targets</li><li>• Time windows</li></ul>	<ul style="list-style-type: none"><li>• Arrival and departure times</li><li>• Speed profile</li><li>• Traction/brake control</li></ul>
<b>Decisions</b>	<ul style="list-style-type: none"><li>• Retiming</li><li>• Reordering</li><li>• Rerouting</li><li>• Cancellation</li></ul>	<ul style="list-style-type: none"><li>• Departure tolerances</li><li>• Timing points (locations)</li><li>• Time windows</li><li>• Feasibility</li></ul>	<ul style="list-style-type: none"><li>• Acceleration</li><li>• Cruising</li><li>• Coasting</li><li>• Braking</li></ul>

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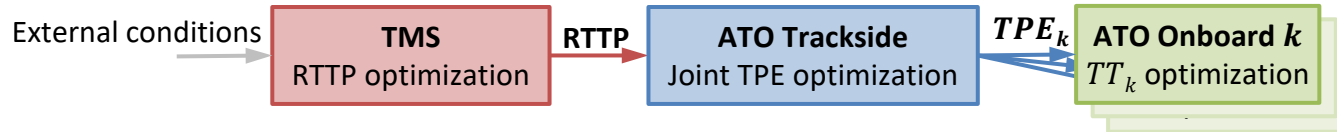
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<b>Objectives</b>	<ul style="list-style-type: none"> <li>• Minimize travel times</li> <li>• Minimize schedule deviations</li> <li>• Effective capacity utilization</li> <li>• Maximize robustness</li> </ul>	<ul style="list-style-type: none"> <li>• Punctual departures and arrivals</li> <li>• Feasible train trajectories</li> <li>• Flexibility</li> <li>• Mutual conflict-free TPEs</li> </ul>	<ul style="list-style-type: none"> <li>• Energy efficiency</li> <li>• Punctuality</li> <li>• Drivability</li> <li>• Comfort</li> </ul>
<b>Constraints</b>	<ul style="list-style-type: none"> <li>• Minimum activity times</li> <li>• Maximum activity times</li> <li>• Minimum headway times</li> <li>• Track capacity</li> </ul>	<ul style="list-style-type: none"> <li>• Driving strategies</li> <li>• Train parameter variations</li> <li>• Speed tracking thresholds</li> <li>• Real-time traffic plan (RTTP)</li> </ul>	<ul style="list-style-type: none"> <li>• Train dynamics</li> <li>• Train characteristics</li> <li>• Track characteristics</li> <li>• Operational constraints (TPE)</li> </ul>

# TMS – ATO feedback control loops

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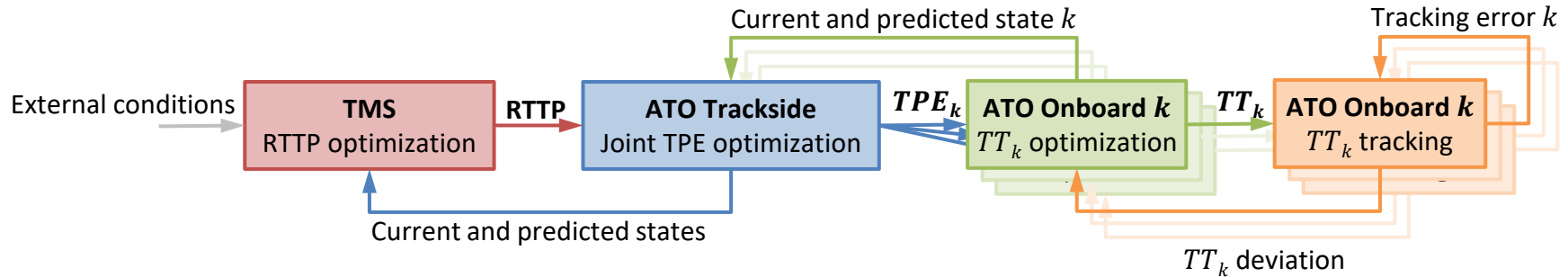
## Interaction TMS – ATO Trackside – ATO Onboard

- **TMS** monitors and updates a conflict-free **Real-Time Traffic Plan** (RTTP)
- **ATO-Trackside** monitors and updates feasible **Train Path Envelopes** for each train  $k$
- **ATO-Onboard** generates and tracks energy-efficient **train trajectory** for a train  $k$

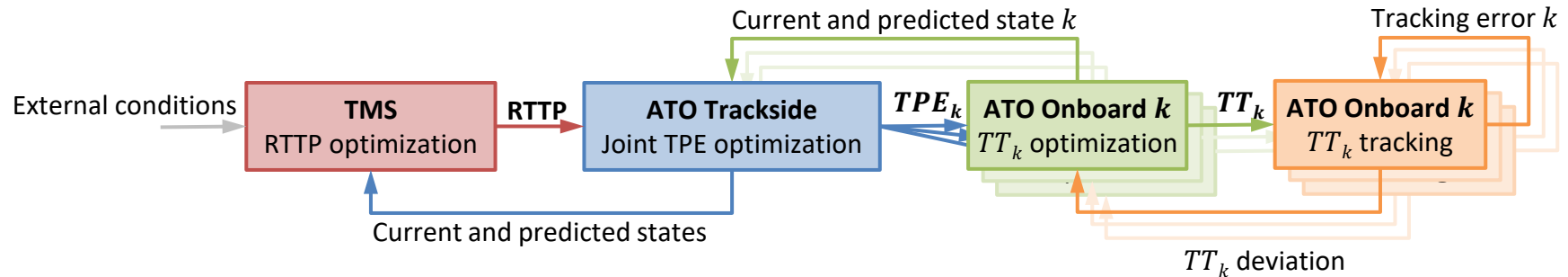


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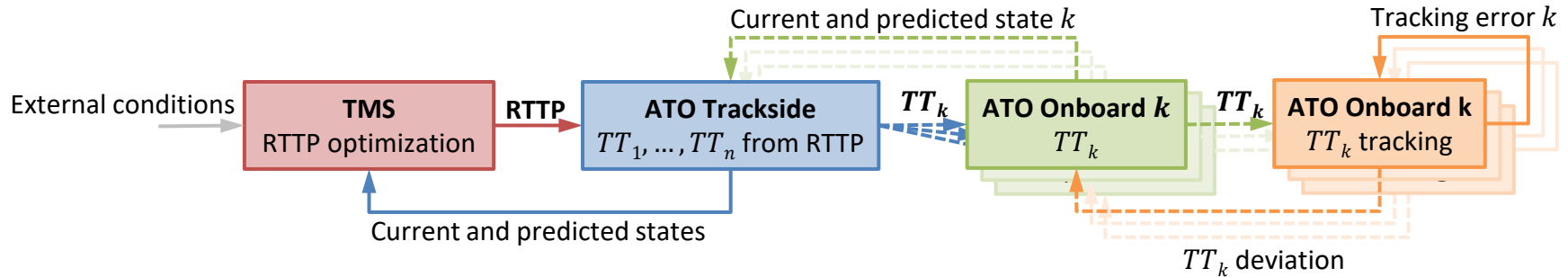


	Passive Onboard	Active Onboard
Passive Trackside	<b>Remote Control</b> TS: Train trajectory from RTTP OB: Train trajectory from TS	<b>Onboard Intelligence</b> TS: TPE from RTTP OB: Train trajectory optimization
Active Trackside	<b>Centralized Intelligence</b> TS: Train trajectory optimization OB: Train trajectory from TS	<b>Distributed Intelligence</b> TS: TPE optimization OB: Train trajectory optimization



Active ATO Trackside – Active ATO Onboard

	Passive Onboard	Active Onboard
Passive Trackside	<b>Remote Control</b> TS: Train trajectory from RTTP OB: Train trajectory from TS	<b>Onboard Intelligence</b> TS: TPE from RTTP OB: Train trajectory optimization
Active Trackside	<b>Centralized Intelligence</b> TS: Train trajectory optimization OB: Train trajectory from TS	<b>Distributed Intelligence</b> TS: TPE optimization OB: Train trajectory optimization

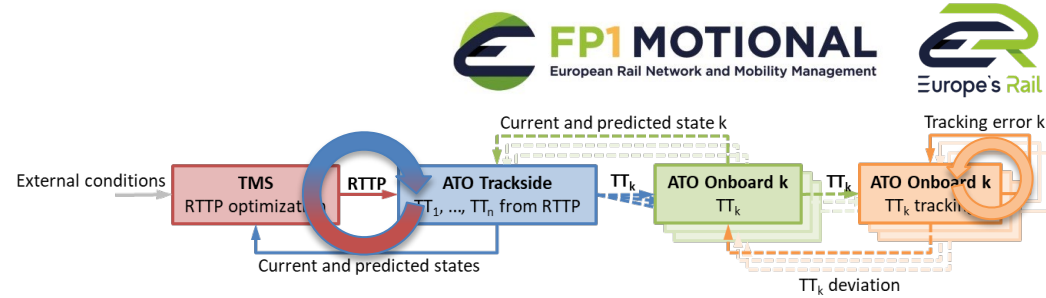


Passive ATO Trackside – Passive ATO Onboard

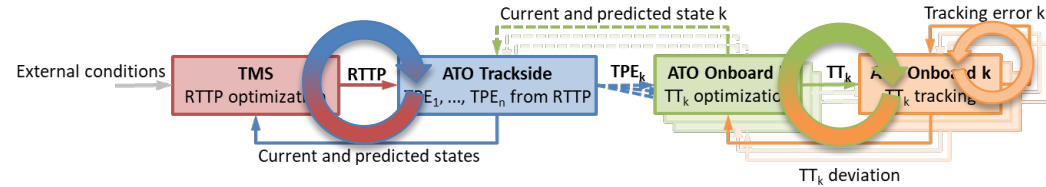


# TMS – ATO variants

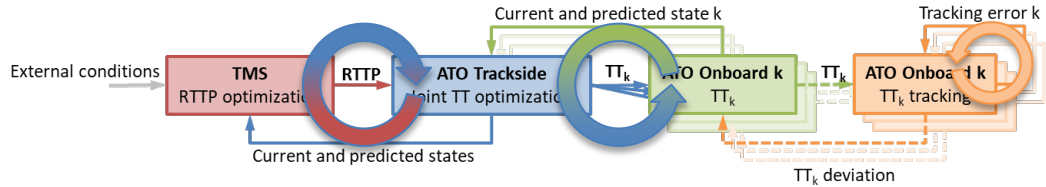
## Passive Trackside – Passive Onboard



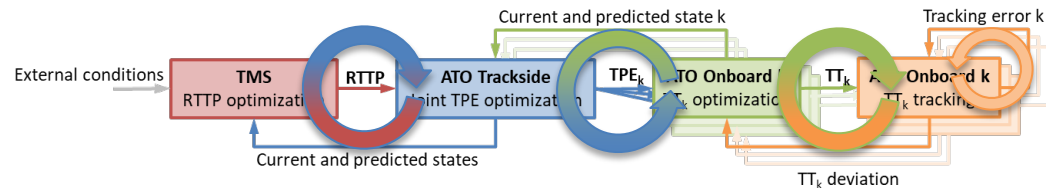
## Passive Trackside – Active Onboard



## Active Trackside – Passive Onboard



## Active Trackside – Active Onboard



# Conclusions

# Conclusions

- The **Real-Time Traffic Plan** is the basis for proactive traffic management to allow conflict-free operations
- The **Train Path Envelope** enriches the RTTP into a list of timing points for each train with timing constraints to the ATO Onboard train trajectory algorithms (communicated in the Journey Profile from ERTMS/ATO Subset 126)
- The ATO Onboard can generate and track **Train Trajectories** within the TPEs to optimize punctuality and energy efficiency
- The TPE can be integrated in the TMS or in the ATO Trackside with different consequences to the feedback control loops and **TMS-ATO interface specification**
- Digital Automated Train Operation is obtained by automating **TMS + CCS** (CSS = ATO + ETCS + other vital and non-vital traffic control functions)

# Take away messages

- The RTTP is the main train traffic coordination mechanism from the TMS
- The TPEs are the main coordination mechanism for successive train trajectories
- ATO should follow the RTTP and not dictate it
- ATO can support the TMS to improve smooth traffic (conflict-free, punctual, energy-efficient)

**References** (see [www.tudelft.nl/drtlab](http://www.tudelft.nl/drtlab) or email [r.m.p.goverde@tudelft.nl](mailto:r.m.p.goverde@tudelft.nl))

- FP1-MOTIONAL (2025). *D15.2: TMS and ATO/C-DAS Timetable Test & Simulation Environment*. EU-Rail.
- Z. Wang, E. Quaglietta, M.G.P. Bartholomeus, A. Cunillera, R.M.P. Goverde (2025). [Optimising timing points for effective automatic train operation](#). *Computers & Industrial Engineering*, 206, 111237.

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- FP1-MOTIONAL (2025). *D15.2: TMS and ATO/C-DAS Timetable Test & Simulation Environment*. EU-Rail
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